

PATENT  
00798DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matyjaszewski et al.

Serial No.: Not Yet Assigned

Examiner: Not Yet Assigned

Group No.: Not Yet Assigned

Title:

POLYMERIZATION PROCESS FOR  
IONIC MONOMERS

Filed: Concurrently Herewith

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pittsburgh, Pennsylvania 15222  
July 23, 2003

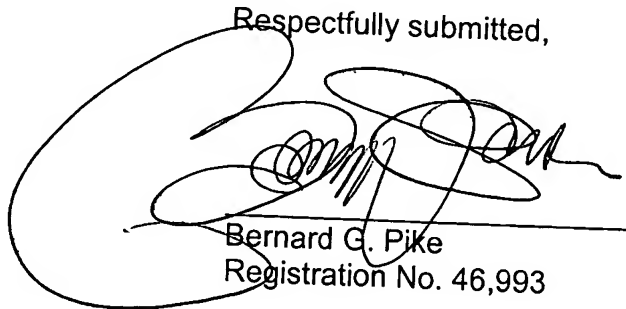
Commissioner for Patents  
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Sir:

Applicant, in accordance with his duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08A *Information Disclosure Citation*. A copy of each of the references cited therein is herewith enclosed.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Bernard G. Pike', is written over a horizontal line.

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Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Matyjaszewski et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	00798DIV

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	5,763,548	06/09/1998	Matyjaszewski et al.	
	2	5,789,487	08/04/1998	Matyjaszewski et al.	
	3	5,807,937	09/15/1998	Matyjaszewski et al.	
	4	5,886,118	03/23/1999	Percec	
	5	5,945,491	08/31/1999	Matyjaszewski et al.	
	6	6,111,022	08/29/2000	Matyjaszewski et al.	
	7	6,121,371	09/19/2000	Matyjaszewski et al.	
	8	6,124,411	09/26/2000	Matyjaszewski et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	9	WO 99/28352	06/10/1999			
	10	WO 00/75198	12/14/2000			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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